Substitute Form PTO-1449
U.S. Department of Commerce Patent and Trademark Office

Information Disclosure Statement

by Applicant

Derek Shaw et al.

Filing Date
March 31, 2004

March 31, 2004

Application No. 10/815,014

Cuperine:	De-!-	I Decument	U.S. Pate	nt Documents			T (00 - D-)
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
W	AA	2,571,653	10/16/1951	Bastien	128	218	
	AB	2,845,065	07/29/1958	Gabriel	128	215	
	AC	3,377,989	04/16/1968	Sandhage et al.	119	1	
	AD	3,780,734	12/25/1973	Wulff	128	218	
	AE	3,890,971	06/24/1975	Leeson et al.	128	218	
	AF	4,170,933	10/16/1979	Meamber	99	349	
	AG	4,356,822	11/02/1982	Winstead-Hall	128	215	
	AH	4,373,526	02/15/1983	Kling	128	215	
	AI	4,416,663	11/22/1983	Hall	604	163	
	AJ	4,425,120	01/10/1984	Sampson et al.	604	198	
	AK	4,631,057	12/23/1986	Mitchell	604	198	
	AL	4,737,144	04/12/1988	Choksi	604	198	
	AM	4,795,432	01/03/1989	Karczmer	604	110	
	AN	4,813,940	03/21/1989	Раггу	604	198	
	AO	4,850,994	07/25/1989	Zerbst et al.	604	198	
	AP	4,850,968	07/25/1989	Romano	604	110	
	AQ	4,850,977	07/25/1989	Bayless	604	198	
	AR	4,863,434	09/05/1989	Bayless	604	198	
	AS	4,894,055	01/16/1990	Sudnak	604	198	
	AT	4,900,311	02/13/1990	Stern et al.	604	198	
	AU	4,911,693	03/27/1990	Paris	604	192	
	AV	4,929,237	05/29/1990	Medway	604	198	
	AW	4,932,940	06/12/1990	Walker et al.	604	110	
	AX	4,932,947	06/12/1990	Cardwell	604	198	
	AY	4,936,830	06/26/1990	Verlier	604	110	
	AZ	4,946,446	08/07/1990	Vadher	604	198	
W	AAA	4,966,592	10/30/1990	Burns et al.	604	198	

Examiner Signature	Date Considered			
$\mathcal{W}_{\mathcal{W}}$	1/31/67			
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attomey's Docket No. 06275-283US2	Application No. 10/815,014	
by Ap	closure Statement oplicant	Applicant Derek Shaw et al.		
(Use several sheets if necessary) (37 CFR §1.98(b))		Filing Date March 31, 2004	Group Art Unit 3763	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
W	ABB	4,969,877	11/13/1990	Kornberg	604	195	,,
1	ACC	4,985,021	01/15/1991	Straw et al.	604	198	
	ADD	5,019,051	05/28/1991	Hake	604	198	
	AEE	5,059,180	10/22/1991	McLees	604	110	
	AFF	5,061,251	10/29/1991	Juhasz	604	198	
	AGG	5,057,086	10/15/1991	Dillard, III et al.	604	195	
	АНН	5,057,087	10/15/1991	Harmon	604	198	
	AII	5,106,379	04/21/1992	Leap	604	198	
	AJJ	5,116,326	05/26/1992	Schmidt	604	198	
	AKK	5,147,303	09/15/1992	Martin	604	110	
	ALL	5,163,918	11/17/1992	Righi et al.	604	198	
	AMM	5,169,392	12/08/1992	Ranford et al.	604	198	
	ANN	5,176,643	01/05/1993	Kramer et al.	604	135	
	AOO	5,201,708	04/13/1993	Martin	604	110	
	APP	5,201,720	04/13/1993	Borgia et al.	604	198	
	AQQ	5,242,416	09/07/1993	Hutson	604	192	
	·ARR	5,256,153	10/26/1993	Hake	604	198	
	ASS	5,267,976	12/07/1993	Guerineau et al.	604	198	
	ATT	5,271,744	12/21/1993	Kramer et al.	604	51	
	AUU	5,279,584	01/18/1994	Dillard, III et al.	604	198	
	AVV	5,300,039	04/05/1994	Poulsen	604	198	
	AWW	5,308,332	05/03/1994	Dillard, III et al.	604	110	
	AXX	5,314,414	05/24/1994	Hake et al.	604	198	
	AYY	5,338,303	08/16/1994	King et al.	604	110	
	AZZ	5,338,310	08/16/1994	Lewandowski	604	192	
	AAAA	5,342,309	08/30/1994	Hausser	604	110	
له.	ABBB	5,342,320	08/30/1994	Cameron	604	192	

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06275-283US2	Application No. 10/815,014	
Information Discl		Applicant Derek Shaw et al.		
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U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
W	ACCC	5,376,080	12/27/1994	Petrussa	604	198	
	ADDD	5,382,241	01/17/1995	Choudhury et al.	604	192	
	AEEE	5,385,555	01/31/1995	Hausser	604	192	
	AFFF	5,429,612	07/04/1995	Berthier	604	198	
	AGGG	5,492,536	02/20/1996	Mascia	604	197	
	АННН	5,494,536	02/20/1996	Mascia	604	197	
	AIII	5,531,706	07/02/1996	de la Fuente	604	198	
	AJJJ	5,562,624	10/08/1996	Righi et al.	604	110	
	AKKK	5,562,626	10/08/1996	Sanpietro	604	110	
	ALLL	5,573,514	11/12/1996	Stiehl et al.	604	198	
	AMMM	5,601,535	02/11/1997	Byrne et al.	604	198	
	ANNN	5,647,849	07/15/1997	Kalin	604	111	
	A000	5,658,254	08/19/1997	Reichenbach et al.	604	192	
. [APPP	5,658,259	08/19/1997	Pearson et al.	604	232	
	AQQQ	5,674,203	10/07/1997	Lewandowski	604	197	
	ARRR	5,695,474	12/09/1997	Daugherty	60,4	162	
	ASSS	5,697,908	12/16/1997	Imbert et al.	604	110	
	ATTT	5,700,246	12/23/1997	Stiehl et al.	604	198	
	AUUU	5,713,871	02/03/1998	Stock	604	192	
	AVVV	5,733,264	03/31/1998	Flowers	604	198	
	AWWW	5,735,823	04/07/1998	Berger	604	192	
	AXXX	5,811,061	09/22/1998	Martinson et al.	122	102	
	AYYY	5,817,064	10/06/1998	DeMarco et al.	604	198	
	AZZZ	5,843,041	12/01/1998	Hake et al.	604	198	
	AAAAA	5,855,839	01/05/1999	Brunel	264	524	
	ABBBB	5,947,933	09/07/1999	Reichenbach et al.	604	198	
W	ACCCC	5,968,019	10/19/1999	Lee	604	195	

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	U.S. Patent Documents							
Examin Initial		Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate	
3	ADDDD	5,997,513	12/07/1999	Smith et al.	604	198		
	AEEEE	6,004,296	12/21/1999	Jansen et al.	604	198		
	AFFFF	6,030,366	02/29/2000	Mitchell	604	192		
	AGGGG	6,159,184	12/12/2000	Perez et al.	604	192		
	АННН	6,183,446	02/06/2001	Jeanbourquin	604	198		
	AIIII	6,186,980 B1	02/13/2001	Brunel	604	110		
	AJJJJ	6,190,361	02/20/2001	Gettig et al.	604	192		
	AKKKK	6,210,374 B1	04/03/2001	Malencheck	604	192		
	ALLLL	6,213,977 B1	04/10/2001	Hjertman et al.	604	117	<u> </u>	
	AMMMM	6,217,559	04/17/2001	Foster	604	195		
W	ANNNN	DES. 418,222	12/28/1999	Pellow	D24	130		

	Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig.	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Trans Yes,	lation	
W	A0000		06/24/1999	Germany	5	32	, Tes,	_No	
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W	APPPP	0 307 367 B1	06/17/1992	Europe	5	315			
W	AQQQQ	0 405 039	01/02/1991	Europe	5	32	· .		
W	ARRRR	0 645 155 A2	09/27/1994	Europe	5	32			
W	ASSSS	EP 0 966 983 A1	12/29/1999	Europe	5	32			
W	ATTTT	FR 2 648 716	12/27/1990	France	5	50			
W	AUUUU	GB 2 202 747 A	10/05/1988	Great Britain	5	32			
W	AVVVV	WO 93/00949	01/21/1993	WIPO	5	32			
W	AWWWW	WO 98/35714	08/20/1998	WIPO	5	32			
L V	AXXXX	WO 99/25402	05/27/1999	WIPO	5	46			
W	AYYYY	WO 00/33900	06/15/2000	WIPO	5	32			
W	AZZZZ	DE 19755125 A1	06/24/1999	Germany	5	32			
LW	ΑΑΑΑΑ	0 307 367 B1	06/17/1992	Europe	5	315			

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	tion considered. Draw line through citation if no	ot in conformance and not consider	ered. Include copy of this form with
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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06275-283US2	Application No. 10/815,014
Information Disclosure Statement by Applicant		Applicant Derek Shaw et al.	
(Use several si	neets if necessary)	Filing Date March 31, 2004	Group Art Unit 3763

	Foreign Patent Documents or Published Foreign Patent Applications						
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes No.
	ABBBBB						

(Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner	Desig.					
Initial	ID	Document				
W	ACCCCC	Copy of IPEA Report for PCT/GB01/00590				
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	AEEEEE	·				
	AFFFFF					

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(37 CFR §1.98(b))		<u> </u>		

				nt Documents			
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w	AA	2,571,653	10/16/1951	Bastien	128	218	·
	AB	2,845,065	07/29/1958	Gabriel	128	215	
	AC	3,377,989	04/16/1968	Sandhage et al.	119	1	
	AD	3,780,734	12/25/1973	Wulff	128	218	
	AE	3,890,971	06/24/1975	Leeson et al.	128	218	
-	AF	4,170,933	10/16/1979	Meamber	99	349	
	AG	4,356,822	11/02/1982	Winstead-Hall	128	215	
	AH	4,373,526	02/15/1983	Kling	128	215	
	Al	4,416,663	11/22/1983	Hall	604	163	
	AJ	4,425,120	01/10/1984	Sampson et al.	604	198	
	AK	4,631,057	12/23/1986	Mitchell	604	198	
	AL	4,737,144	04/12/1988	Choksi	604	198	
	AM	4,795,432	01/03/1989	Karczmer	604	110	
	AN	4,813,940	03/21/1989	Рапу	604	198	
	AO	4,850,968	07/25/1989	Romano	604	110	
	AP	4,850,977	07/25/1989	Bayless	604	198	
	AQ	4,863,434	09/05/1989	Bayless	604	198	
	AR	-4 ,89 4 ,0 55	01/16/1990	Sudnak	604	.198	
	AS	4,900,311	02/13/1990	Stern et al.	604	198	·
	AT	4,911,693	03/27/1990	Paris	604	192	
	AU	4,929,237	05/29/1990	Medway	604	198	
	AV	4,932,940	06/12/1990	Walker et al.	604	110	
	AW	4,932,947	06/12/1990	Cardwell	604	198	
	AX	4,936,830	06/26/1990	Verlier	604	110	
	AY	4,946,446	08/07/1990	Vadher	604	198	
	AZ	4,966,592	10/30/1990	Burns et al.	604	198	
m	AAA	4,985,021	01/15/1991	Straw et al.	604	198	

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EXAMINER: Initials citation considered. Draw line through citation if n	of in conformance and not considered. Include copy of this form with
next communication to applicant.	C. Laille Diadous Form (DTO 1440)

Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06275-283US 2	Application No.
	closure Statement	Applicant Derek Shaw et al.	
	eets if necessary)	Filing Date	Group Art Unit

(37 CFR §1.98(b))

			U.S. Pate	nt Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
W	ABB	5,019,051	05/28/1991	Hake	604	198	
	ACC	5,059,180	10/22/1992	McLees	604	110	
	ADD	5,061,251	10/29/1991	Juhasz	604	198	
	AEE	5,057,086	10/15/1991	Dillard, Ill et al.	604	195	
	AFF	5,106,379	04/21/1992	Leap	604	198	
 	AGG	5,116,326	05/26/1992	Schmidt	604	198	
	АНН	5,147,303	09/15/1992	Martin	604	110	
	All	5,163,918	11/17/1992	Righi et al.	604	198	
· -	AJJ	5,169,392	12/08/1992	Ranford et al.	604	198	
 	AKK	5,176,643	01/05/1993	Kramer et al.	604	135	·
	ALL	5,201,708	04/13/1993	Martin	604	110	
 	AMM	5,256,153	10/26/1993	Hake	604	198	
	ANN	5,267,976	12/07/1993	Guerineau et al.	604	198	
	AOO	5,271,744	12/21/1993	Kramer et al.	604	51	
	APP	5,279,584	01/18/1994	Dillard, III et al.	604	198	
	AQQ	5,308,332	05/03/1994	Dillard, III et al.	604	110	
	ARR	5,314,414	05/24/1994	Hake et al.	604	198.	
	ASS	5,338,310	08/16/1994	Lewandowski	604	192	
1	ATT	5,342,309	08/30/1994	Hausser	604	110	<u> </u>
	AUU	5,342,320	08/30/1994	Cameron	604	192	
	AVV	5,376,080	12/27/1994	Petrussa	604	198	
	AWW	5,382,241	01/17/1995	Choudhury et al.	604	192]
	AXX	5,385,555	01/31/1995	Hausser	604	192	
	AYY	5,429,612	07/04/1995	Berthier	604	198	
	AZZ	5,494,536	02/20/1996	Mascia	604	197	
	AAAA	5,531,706	07/02/1996	du la Fuente	604	198	
W	ABBB	5,562,624	10/08/1996	Righi et al.	604	110	•,

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EXAMINED: Initials citation considered. Draw line through citation if no	this conformation and not considered. Include copy of this form with
l EVANULED: Initials citation considered. U/AW line Infough Clauon II NO	if the combination and not considered. Interest septy of the

EXAMINER: Initials citation cons next communication to applicant.

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U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 06275-283US2

Application No.

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Applicant

Derek Shaw et al.

Filing Date

Group Art Unit

(37 CFR §1.98(b))

U.S. Patent Documents						•	
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	ACCC	5,562,626	10/08/1996	Sanpietro	604	110	
γ	ADDD	5,573,514	11/12/1996	Stiehl et al.	604	198	
	AEEE	5,601,535	02/11/1997	Byrne et al.	604	198	
	AFFF	5,647,849	07/15/1997	Kalin	604	111	
	AGGG	5,658,254	08/19/1997	Reichenbach et al.	604	192	
	АННН	5,658,259	08/19/1997	Pearson et al.	604	232	·
	Alll	5,674,203	10/07/1997	Lewandowski	604	197	
	AJJJ	5,695,474	12/09/1997	Daugherty	604	162	
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	AMMM	5,713,871	02/03/1998	Stock	604	192	
	ANNN	5,733,264	03/31/1998	Flowers	604	198	
	A000	5,735,823	04/07/1998	Berger	604	192	
٠.	APPP	5,811,061	09/22/1998	Martinson et al.	122	102	
	AQQQ	5,817,064	10/06/1998	DeMarco et al.	604	198	
	ARRR	5,843,041	12/01/1998	Hake et al.	604	198	
•	ASSS	5,855,839	01/05/1999	Brunel	264	524	
	TTTA	-5 , 947 , 933	-09/07/1999	Reichenbach-et-al	604	198	
	AUUU	5,968,019	10/19/1999	Lee	604	195	
	AVVV	6,004,296	12/21/1999	Jansen et al.	604	198	
	AWWW	6,186,980 B1	02/13/2001	Brunel	604	110	
	AXXX	6,210,374 B1	04/03/2001	Malencheck	604	192	
	AYYY	6,213,977 B1	04/10/2001	Hjertman et al.	604	117	
	AZZZ	6,217,559	04/17/2001	Foster	604	195	
W	AAAA	DES. 418,222	12/28/1999	Pellow	D24	130	
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	Foreig	n Patent Doo	uments or P	ublished Foreign	Patent A	Application		
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass .	Yes	No
W	ADDDD	DE 19755125 A1	06/24/1999	Germany	5	32		
W	AEEEE	0 307 367 B1	06/17/1992	Europe	-5	315		
N	AFFFF	0 405 039	01/02/1991	Europe	5	32		
<i>\(\mathcal{V}\)</i>	AGGGG	0 645 155 A2	09/27/1994	Europe	5 .	32		
W	АННН	EP 0 966 983 A1	12/29/1999	Europe	5	32		
1~	AIIII	FR 2 648 716	12/27/1990	France	5	50		
W	AJJJJ	GB 2 202 747 A	10/05/1988	Great Britain	5	32		•
. \.1	AKKKK	WO 93/00949	01/21/1993	WIPO	5	32		
W	ALLLL	WO 98/35714	08/20/1998	WIPO	5	32		
\n	АММММ	WO 99/25402	05/27/1999	WIPO	5	46		
W	ANNNN	WO 00/33900	06/15/2000	WIPO	5	32		
	A0000							

	Other Do	ocuments (include Author, Title, Date	e, and Place of Publication)
Examiner Initial	Desig. ID	Docu	ument
W	АРРРР	Copy of IPEA Report for PCT/GB01/00590	
	AQQQQ		
	ARRRR		
	ASSSS		

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W	BAA	4,850,994	07/25/1989	Zerbst et al.	604	198	
	& AB	5,057,087	10/15/1991	Harmon	604	198	
	BAC	5,169,392	12/08/1992	Ranford et al.	604	198	
	g AD	5,300,039	04/05/1994	Poulsen	604	198	
	B AE	5,338,303	08/16/1994	King et al.	604	110	
	B AF	5,531,706	07/02/1996	De la Fuente	604	198	
	R AG	5,601,535	02/11/1997	Byrne et al.	604	198	
	BAH	5,997,513	12/07/1999	Smith et al.	604	198	
	B AI	6,030,366	02/29/2000	Mitchell	604	192	
:	B AJ	6,159,184,	12/12/2000	Perez et al.	604	192	
	B AK	6,183,446	02/06/2001	Jeanbourquin	604	198	
	BAL	6,190,361	02/20/2001	Gettig et al.	604	192	
- (B AM	6,213,977	04/10/2001	Hjertman et al.	604	117	
W	B AN	6,217,559	04/17/2001	Foster	604	195	
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Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	B AP	•						
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	Other Documents (include Author, Title, Date, and Place of Publication)					
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U.S. Patent Documents							
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100	CAA	5,201,720	04/1993	Dawn Boergia et al.			
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	CAD						-
	CAE						
	C AF						
	CAG						
	CAH						
	C AI						·
	C AJ						
	CAK	,					

Foreign Patent Documents or Published Foreign Patent Applications								
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Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
W	C AL	WO 98/35714	08/1998	WIPO		,		
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